

Direct Market Access (DMA) Request Form

Date: _____

To: President
The Stock Exchange of Thailand ("SET") and / or
Managing Director
Thailand Futures Exchange PCL. ("TFEX")

We, _____, ("Company"), Member No. _____, would like to inform our DMA customers' information for the following purposes:

- Connection of DMA system
- Amendment of DMA system which was already approved by SET or TFEX
- Amendment of approved DMA customers' information
- Termination of approved DMA's system service
- Other (please specify): _____

Section 1: Information on the Company's customers

No.	Customer Name	Customer Type*	Account No.	Customers' Application Software		
				Application name	ISV/Developer	Server location

*For example: Customer, Foreign, Principal, or Institution.

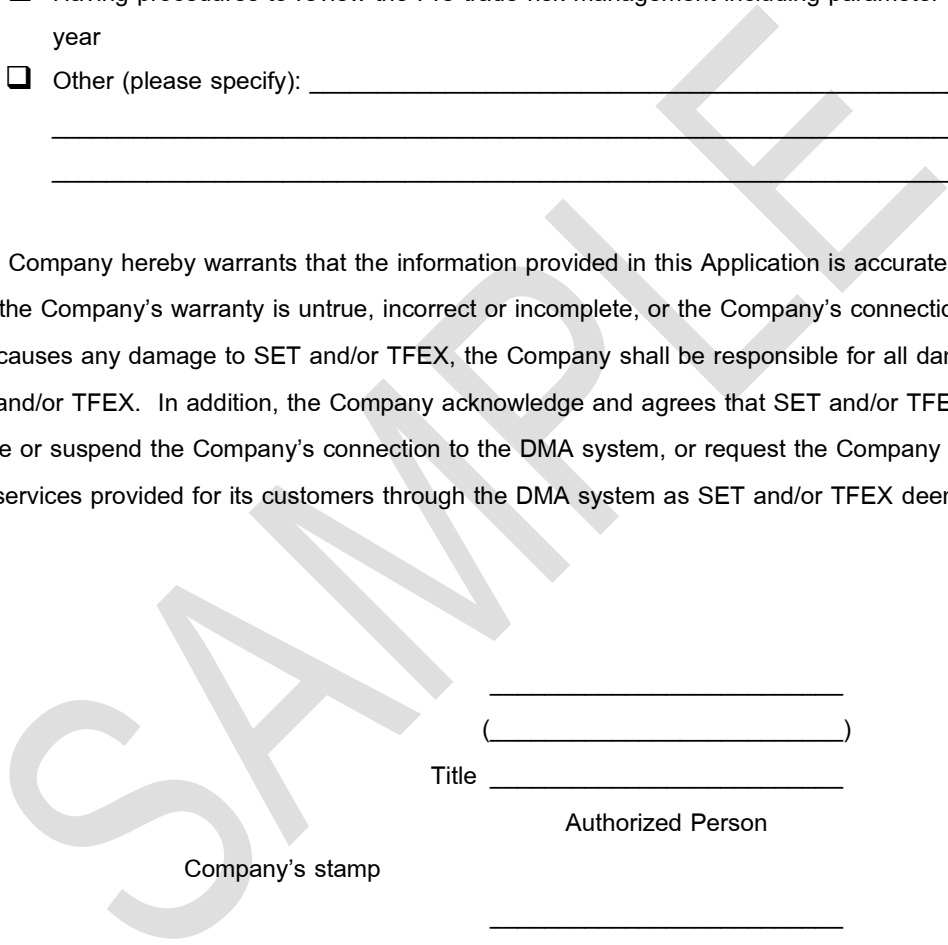
The Company hereby certifies that the above-mentioned customers meet all qualifications specified in the procedure prescribed by the Association of Thai Securities Companies (ASCO) with the approval of SET.

Section 2: The Company's Supervision measures to control DMA trading (please mark ✓ in)

- Some automatic order checking system that the company can configure parameters as follows:
1. Credit / Exposure limit
 2. Maximum value per order
 3. Maximum volume per order
 4. Order price check (ex. % of last traded price)

- A kill switch function which can stop all processes and auto-cancel the unmatched order that the company authorizes to use.
- Surveillance System
- Monitoring of DMA trading by company staff
- Having internal procedures to ensure that clients meet SET's and/or TFEX's criteria. Also having contract or agreement of the Company and the DMA customer.
- Notifying customer regarding DMA trading rules, and the prohibition of inappropriate order entry, of which the customer has acknowledged and agreed to comply with
- System log that available upon the request of SET or TFEX
- Having procedures to review the Pre-trade risk management including parameter at least once a year
- Other (please specify): _____

The Company hereby warrants that the information provided in this Application is accurate and complete. In case the Company's warranty is untrue, incorrect or incomplete, or the Company's connection to the DMA system causes any damage to SET and/or TFEX, the Company shall be responsible for all damage incurred to SET and/or TFEX. In addition, the Company acknowledge and agrees that SET and/or TFEX may terminate or suspend the Company's connection to the DMA system, or request the Company to suspend the trading services provided for its customers through the DMA system as SET and/or TFEX deems appropriate.



(_____)

Title _____
Authorized Person

Company's stamp

(_____)

Title _____
Authorized Person

Contact: _____
Department/Division: _____
Tel: _____
E-mail: _____