

NOTIFICATION OF THE STOCK EXCHANGE OF THAILAND

Re : Rules, Conditions and Procedures relating to Trading on the Big Lot Board (No. 1), 1998

Whereby it is deemed appropriate to establish rules, conditions and procedures concerning the trading of securities on the big lot board to facilitate and to provide ease of understanding in the trading on such board according to Clauses 3 and 28 of the Regulations of the Stock Exchange of Thailand, Re: Regulations relating to Trading, Clearing and Settlement of Listed Securities (No. 1), 1998, dated April 21, 1998.

The Stock Exchange of Thailand hereby issues the following regulations :

***1.** Trading on a big lot board shall be big lot trading which has a total trading volume of 1 million securities or more, or which has a total trading value of Baht 3 million or more.

*(*Amended June 26, 2007)*

*In case that a member makes a request by showing that the buyer or seller of securities wishes to trade securities on the big lot board at a trading volume or trading value which is different from that prescribed under the first paragraph, and that such trading is carried out for the purpose of law compliance, the Exchange may permit the execution of such trading on the big lot board under this Notification.

*(*Amended August 9, 2005)*

2. The big lot trading shall be carried out in the following two ways :

(1) Trading between members (Two-firm Put-through) ;

(2) Trading whereby purchasing member and selling member are the same (One-firm Put-through).

3. The big lot trading according to Clause 2(1) shall be undertaken by the negotiation between purchasing member and selling member. Upon the agreement having been made by the members, the members shall record the trading into the trading system.

A member may announce the bid or offer of securities in the trading system by specifying the bid and offer price of the securities in such announcement. In this way, such announcement shall not be bound by such member to buy or sell according to such announcement.

The recording of trading into the trading system according to paragraph one shall be made by:

(1) The selling member records the trading which has the particulars pursuant to Clause 5 together with the identification number of the purchasing member and of the authorized officer of the purchasing member into the trading system ;

(2) The purchasing member confirms such trading through the trading system. Such trading shall be in effect upon the confirmation of the trading by the purchasing member through the trading system.

4. The big lot trading according to Clause 2 (2) shall be proceed by the following:

(1) Negotiation of the customers of member. Upon the agreement having been made by such customers, the member shall record such trading which has the particulars as provided under Clause 5 into the trading system ; or

(2) Negotiation of the member and its customer. Upon the agreement having been made by the member and its customer, the member shall record such trading which has the particulars as provided under Clause 5 into the trading system.

Trading shall be effective upon the member performing of such trading has recorded such trading into the trading system.

5. The recording of trading on the big lot board into the trading system shall have the following particulars as minimum:

- (1) Name of securities ;
- (2) Trading price ;
- (3) Quantity of securities ;
- (4) Type of trading board ;
- (5) Account number of customer ;
- (6) Category of trader ;
- (7) Member's identification number ;
- (8) Authorized officer's identification number ;
- (9) Other particulars as stipulated by the Exchange.

6. A member shall record the trading according to Clauses 3 and 4 into the trading system during trading hours only and within fifteen minutes as from the time of the big lot trading having been taken place.

In the case of the member cannot record the trading into the trading system within the period of time under the first paragraph, the member shall record such trading within the first fifteen minutes of the next trading period.

*6/1. When a member records the trading of any of the following characteristics, the member shall submit a report on the trading data to the Exchange in the form as prescribed by the Exchange:

(1) the trading of securities at a price which is lower or higher than 15 percent of the closing price of such securities on the main board on the preceding trading day, whereby a report shall be made by 5.30 p.m. on such trading day; or

(2) trading with a volume of purchase or sale that rises up to or beyond a point at which a tender offer to purchase the entire securities of the business is required under the law on securities and exchange, or the trading of securities, wherein a member is aware or should reasonably be aware that a buyer will acquire or become a holder of securities in the amount up to or beyond the point at which a tender offer to purchase the entire securities of the business is required under the law on securities and exchange.

*7. The rules regarding the bid and offer spread under the regulations of the Exchange regarding trading, clearing and settlement of securities on the Exchange, and the regulations of the Exchange regarding trading on the main board shall not apply to the trading on the big lot board.

*8. The following rules under the regulations of the Exchange regarding trading, clearing and settlement of securities on the Exchange, and the regulations of the Exchange regarding trading on the main board shall apply to the trading on the big lot board.

(1) the change in the maximum or minimum bid and offer price of securities on each day, except for the cases under clause 9;

(2) the change in the maximum or minimum bid and offer price of securities on the first day on which the Exchange announces the posting of a sign to indicate that the offer and bid price of such securities is the price exclusive of rights and benefits from the securities holding or rights to receive warrants on such securities, except for the cases under clause 9; and

(3) the amendment to or cancellation of trading.

*9. A member may trade in securities at a price higher than the maximum or minimum bid and offer price that may be varied on each day according to 8(1) and (2) only in the case of the off-hour trading in the following circumstances:

(1) the merger of businesses;

(2) the change in shareholding structure, wherein a tender offer to purchase the entire securities of the business is required under the law on securities and exchange; and

(3) other circumstances deemed appropriate by the Exchange.

The member shall notify the Exchange of the trading of securities under the first paragraph before recording such trading.

*(*Amended June 26, 2007)*

Notified on this 20th day of May 1998.

(Signed) Singh Tangtatswas

(Mr. Singh Tangtatswas)
President